External Audit Tracker Summary Position

Update on Regulator Reports

- Reports received in 2022/23
  - Springing Forward Review: Assets, Workforce and Hybrid working (5 recommendations)
  - Waste Management (5 recommendations)
  - Leisure Services follow-up report (6 recommendation)
  - Assurance and Risk Assessment (1 recommendation)
  - Setting Wellbeing Objectives (0 recommendations)
  - YJS - HMIP follow up (7 recommendations)

- Reports in progress
  - Equality Impact Assessments
  - Digital
  - Unscheduled care. This review covers the health and local government sectors
  - Assurance and risk assessment including a focus on:
    - Financial position
    - Capital programme management
    - Use of performance information, with a focus on service user feedback

Overview of Response

Open/ Closed Mid Year 2020/21		Open/ Closed Year End 2020/21		Open/ Closed Mid Year 2021/22		Open/ Closed Year End 2021/22		Open/ Closed Mid Year 2022/23		Open/ Closed Mid Year 2023/24	
Open	46	Open	29	Open	27	Open	38	Open	40	Open	28
Closed	15	Closed	32	Closed	37	Closed	47	Closed	69	Closed	81
Total	61	Total	61	Total	64	Total	85	Total	109	Total	109

Analysis

- There are no outstanding recommendations from 2017/18

- 0 open recommendations from 2018/19

- 0 open recommendations from 2019/20

- 5 open recommendations from 2020/21
    - CIW Risk Based Assessment (4)
    - HMIP YJS (1)

- 4 open recommendations from 2021/22
    - Estyn (4)

- 19 open recommendations from 2022/23

Overview

Financial Year	Audit Name	Progress Open/ Closed Nov 2021		Progress Open/ Closed April 2022		Progress Open/ Closed Oct 2022		Progress Open/ Closed Feb 2023		Progress Open/Closed October 2023	
2017/18	Scrutiny – Fit for the Future	Open	0	Open	0	Open	0	Open	0	Open	0
		Closed	5	Closed	5	Closed	5	Closed	5	Closed	5
2018/19	Delivering Capital Ambition	Open	0	Open	0	Open	0	Open	0	Open	0
		Closed	3	Closed	3	Closed	3	Closed	3	Closed	3
	Corporate Safeguarding	Open	5	Open	3	Open	2	Open	2	Open	0
		Closed	4	Closed	6	Closed	7	Closed	7	Closed	9
	Environmental Health	Open	7	Open	7	Open	1	Open	0	Open	0
		Closed	2	Closed	2	Closed	8	Closed	9	Closed	9
	Transport and Clean Air Vision	Open	1	Open	1	Open	0	Open	0	Open	0
		Closed	14	Closed	14	Closed	15	Closed	15	Closed	15

Overview

Financial Year	Audit Name	Progress Open/Closed Nov 2021		Progress Open/Closed April 2022		Progress Open/Closed Oct 2022		Progress Open/Closed Feb 2023		Progress Open/Closed October 2023	
2019/20	Leisure Services	Open	6	Open	6	Open	0	Open	0	Open	0
		Closed	0	Closed	0	Closed	6	Closed	6	Closed	6
	Financial Sustainability	Open	0	Open	0	Open	0	Open	0	Open	0
		Closed	2	Closed	2	Closed	2	Closed	2	Closed	2
2020/21	HMIP Inspection of Youth Offending Services	Open	7	Open	5	Open	1	Open	1	Open	1
		Closed	5	Closed	7	Closed	11	Closed	11	Closed	11
	Financial Sustainability Assessment	Open	1	Open	0	Open	0	Open	0	Open	0
		Closed	2	Closed	3	Closed	3	Closed	3	Closed	3
	CIW Risk Based Inspection	Open	14	Open	12	Open	11	Open	11	Open	4
		Closed	3	Closed	5	Closed	6	Closed	6	Closed	13
2021/22	Estyn Inspection of Education	Open	N/A	Open	4	Open	4	Open	4	Open	4
		Closed	N/A	Closed	0	Closed	0	Closed	0	Closed	0

Overview

Financial Year	Audit Name	Progress Open/ Closed Nov 2021		Progress Open/ Closed April 2022		Progress Open/ Closed Oct 2022		Progress Open/ Closed Feb 2023		Progress Open/Close October 2023	
2022/23	Assurance and Risk Assessment Review	Open	N/A	Open	N/A	Open	N/A	Open	1	Open	1
		Closed	N/A	Closed	N/A	Closed	N/A	Closed	0	Closed	0
	Springing Forward - Combined Workforce and Assets Review	Open	N/A	Open	N/A	Open	N/A	Open	4	Open	4
		Closed	N/A	Closed	N/A	Closed	N/A	Closed	1	Closed	1
	Waste Management Review	Open	N/A	Open	N/A	Open	N/A	Open	5	Open	5
		Closed	N/A	Closed	N/A	Closed	N/A	Closed	0	Closed	0
	Leisure Services Follow Up	Open	N/A	Open	N/A	Open	N/A	Open	5	Open	2
		Closed	N/A	Closed	N/A	Closed	N/A	Closed	1	Closed	4
	HMIP Inspection of Youth Offending Services in Cardiff 2022-23	Open	N/A	Open	N/A	Open	N/A	Open	7	Open	7
		Closed	N/A	Closed	N/A	Closed	N/A	Closed	0	Closed	0